



RECEIVED

JUN 17 2004

Technology Center 2100

FORM PTO-1449	SERIAL NO. 09/677,135	CASE NO. 10022/036
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 29, 2000	GROUP ART UNIT 2674
(use several sheets if necessary)		
APPLICANT(S): Yannis S. Arvanitis, et al.		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>cn</i>	A1	6,640,249 B1	10/28/2003	Bowman-Amuah	709/228	08/31/1999
<i>cr</i>	A2	6,615,258 B1	09/02/2003	Barry et al.	709/223	09/24/1998
<i>cn</i>	A3	6,598,046 B1	07/22/2003	Goldberg et al.	707/5	09/29/1998
<i>cn</i>	A4	6,584,466 B1	06/24/2003	Serbinis et al.	707/10	04/07/1999
<i>cn</i>	A5	6,529,948 B1	03/04/2003	Bowman-Amuah	709/217	08/31/1999
<i>cn</i>	A6	6,523,027 B1	02/18/2003	Underwood	707/4	07/30/1999
<i>cn</i>	A7	6,418,430 B1	07/09/2002	DeFazio et al.	707/3	01/10/1999
<i>cn</i>	A8	6,405,364 B1	06/11/2002	Bowman-Amuah	717/101	08/31/1999
<i>cn</i>	A9	6,401,097 B1	06/04/2002	McCotter et al.	707/102	01/22/1999
<i>cn</i>	A10	6,289,382 B1	09/11/2001	Bowman-Amuah	709/226	08/31/1999
<i>cn</i>	A11	6,256,773 B1	07/03/2001	Bowman-Amuah	717/1	08/31/1999
<i>cr</i>	A12	6,185,573 B1	02/06/2001	Angelucci et al.	707/104	04/22/1998
<i>cr</i>	A13	6,144,975	11/07/2000	Harris, Jr. et al.	707/901	05/05/1998
<i>a</i>	A14	6,112,304	08/29/2000	Clawson	713/156	08/27/1997
<i>cn</i>	A15	6,038,560	03/14/2000	Wical	707/5	05/21/1997
<i>cn</i>	A16	6,029,192	02/22/2000	Hill et al.	709/206	03/14/1997
<i>cn</i>	A17	6,023,698	02/08/2000	Lavey, Jr. et al.	707/10	12/05/1996
<i>cn</i>	A18	6,012,071	01/04/2000	Krishna et al.	707/522	01/29/1997
<i>cn</i>	A19	6,006,171	12/21/1999	Vines et al.	702/184	07/28/1997
<i>cn</i>	A20	5,933,582	08/03/1999	Yamada	395/114	02/23/1996
<i>cn</i>	A21	5,930,512	07/27/1999	Boden et al.	395/710	10/18/1996
<i>cr</i>	A22	5,924,096	07/13/1999	Draper et al.	707/10	10/15/1997
<i>cr</i>	A23	5,905,868	05/18/1999	Baghai et al.	395/200.54	07/22/1997
<i>cr</i>	A24	5,892,905	04/06/1999	Brandt et al.	395/187.01	12/23/1996
<i>cr</i>	A25	5,877,759	03/02/1999	Bauer	345/339	03/26/1997
<i>cr</i>	A26	5,860,012	01/12/1999	Luu	395/712	05/19/1997
<i>cr</i>	A27	5,859,969	01/12/1999	Oki et al.	395/200.3	01/11/1996
<i>cr</i>	A28	5,805,785	09/08/1998	Dias et al.	395/182.02	02/27/1996
<i>cr</i>	A29	5,799,297	08/25/1998	Goodridge et al.	707/1	12/15/1995
<i>cr</i>	A30	5,781,732	07/14/1998	Adams	395/200.35	06/20/1996
<i>cr</i>	A31	5,758,150	05/26/1998	Bell et al.	395/610	10/06/1995
<i>cr</i>	A32	5,745,753	04/28/1998	Mosher, Jr.	395/618	01/24/1995
<i>cr</i>	A33	5,742,829	04/21/1998	Davis et al.	395/712	03/10/1995
<i>cr</i>	A34	5,737,533	04/07/1998	de Hond	395/200.49	10/26/1995
<i>cr</i>	A35	5,710,915	01/20/1998	McElhiney	395/603	12/21/1995
<i>cr</i>	A36	5,619,716	04/08/1997	Nonaka et al.	395/800	06/06/1995
<i>cr</i>	A37	5,956,479	09/21/1999	McInerney et al.	395/183.14	11/13/1995
<i>cr</i>	A38	5,590,270	12/31/1996	Tsukuda et al.	395/701	02/21/1995
<i>cr</i>	A39	5,535,388	07/09/1996	Takeda	395/650	06/09/1994
<i>cr</i>	A40	5,495,610	02/27/1996	Shing et al.	395/600	07/13/1995

EXAMINER <i>C. NGUYEN</i>	DATE CONSIDERED <i>09/07/04</i>
------------------------------	------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. 09/677,135	CASE NO. 10022/036
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE September 29, 2000	GROUP ART UNIT 2674
APPLICANT(S): Yannis S. Arvanitis, et al.		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A41	4,809,170	02/28/1989	Leblang et al.	364/200	04/22/1987

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
CW	A42	EP 0520923 A2	12/30/1992	Europe	G06F 15/21
CW	A43	EP 0769739 A2	04/23/1997	Europe	G06F 9/44
CW	A44	EP 0829808 A2	03/18/1998	Europe	G06F 13/38
CW	A45	EP 0841616 A2	05/13/1998	Europe	G06F 9/445
CW	A46	EP 0915422 A1	05/12/1999	Europe	G06F 11/22
CW	A47	GB 2315891 A	02/11/1998	United Kingdom	G06F 17/30
CW	A48	WO 92/20022	11/12/1992	PCT	G06F 1/00
CW	A49	WO 95/23373	08/31/1995	PCT	G06F 9/28
CW	A50	WO 97/12311	04/03/1997	PCT	G06F
CW	A51	WO 98/52121	11/19/1998	PCT	G06F 11/32
CW	A52	WO 98/53396	11/26/1998	PCT	G06F 9/44
CW	A53	WO 98/54660	12/03/1998	PCT	G06F 17/30
CW	A54	WO 98/57260	12/17/1998	PCT	G06F 9/44
CW	A55	WO 99/38079	07/29/1999	PCT	G06F 13/00

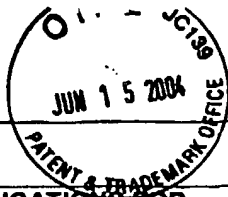
EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
CW	A56	Billy B.L. Lim, "Teaching web development technologies in CS/IS curricula," ACM, copyright 1998, pp. 107-111
CW	A57	Litoiu et al., "A performance engineering tool and method for distributed applications," ACM, pp. 1-14
CW	A58	Zoller et al., "A toolkit for an automatic, data dictionary based connection of databases to the WWW," ACM, copyright 1998, pp. 706-718
CW	A59	McDowell et al., "Unloading Java classes that contain static fields," ACM, v. 33(1), 10/15/1997, pp. 56-60
CW	A60	Orfali, R., Harkey, D., "Client/Server Programming with OS/2 2.0 (2nd Ed.)" 1992, Van Nostrand Reinhold New York, U.S., pp. 10-24, 111-137, 149-160, 588-594, XP002164217, ISBN 0-442-01219-5
CW	A61	Orfali, R., Harkey, D., Edwards, J., "Intergalactic Client/Server Computing," Byte, McGraw-Hill, Inc., St. Peterborough, U.S., Vol. 20, No. 4, 1 April 1995, pp. 108-110, 114, 11, XP000501823, ISSN: 0360-5280;
CW	A62	Smeets, J., Boyer, S., "Internet and Client Server Patent Information Systems: New Services from Derwent," World Patent Information, GB, Elsevier Sciences Publishing, Barking, Vol. 20, No. 2, June 1998, pp. 136-139, XP004165804, ISSN 0172-2190

RECEIVED

JUN 17 2004

EXAMINER C. Nguyen	DATE CONSIDERED 09/18/04	Technology Center 2100
-----------------------	-----------------------------	------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449	SERIAL NO. 09/677,135	CASE NO. 10022/036
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE September 29, 2000	GROUP ART UNIT 2674
APPLICANT(S): Yannis S. Arvanitis, et al.		

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
CW	A63	Orfall, R., Harkey, D., "Client/Server With Distributed Objects," Byte, McGraw-Hill, Inc., St. Peterborough, U.S., Vol. 20, No. 4, 1 April 1995, pp 151-152, 154, 156, XP000501827, ISSN 0360-5280
CP	A64	Aoyama, M., Hanai, Y., Suzuki, M., "An Integrated Software Maintenance Environment: Bridging Configuration Management and Quality Management," Proceedings of the Conference on Software Maintenance, International Conference on Software Maintenance, Washington, US, IEEE Computer Society Press, 24 October 1988 pp. 40-44
CW	A65	Borsook, P., "Seeking Security. Mainframe Techniques Define System Security. Soon, they'll be Adapted To Distributed Client/Server Systems." Byte, McGraw-Hill, Inc., St. Peterborough, Vol. 18, No. 6, 1 May 1993, pp. 119-122, 124, 12, XP000359327, ISSN 0360-5280.
CW	A66	Tanenbaum, A., "Computer Networks - Third Edition," Prentice-Hall International, London, GB, 1996, pp. 28-39, XP002161723
CW	A67	Blakeley, J.A., "Universal Data Access with OLE DB," Proceedings of IEEE Compcon, U.S. Los Alamitos, IEEE Computer Society Press, 23 Feb. 1997, pp. 2-7
CW	A68	IBM Technical Disclosure Bulletin, "Security, License Management and Application Metering Process for Controlling Applications in the DOS Environment," IBM Corp., New York, US, Vol. 37, No. 11, pp 195-199, 1 Nov. 1994
CW	A69	Voth, G.R., Kindel, C., Fujioka, J., "Distributed Application Development for Three-Tier Architectures: Microsoft on Windows DNA," IEEE Internet Computing Vol. 2, No. 2, March/April 1998, USA
CW	A70	Lambert, N., "A New Patent Search Tool For The Internet. Q-PAT US." Database, US, Cincinnati, Vol. 19, No. 4, 1 August 1996
CW	A71	Abdel-Mottaleb, M., Hsiang-Lung, W., Dimitrova, N., "Aspects of Multimedia Retrieval," Philips Journal of Research, NL, Elsevier, Amsterdam, Vol. 50, No. 1, 1996, pp. 227-251
CW	A72	Olson, M.A., "DataBlade extensions for INFORMIX-Universal Server," Compcon '97 Proceedings, IEEE, 1997, pp. 143-148
CW	A73	Chamberlin, D.D., "Evolution of Object-Relational Database Technology in DB2," Compcon '97 Proceedings, IEEE, 1997, pp. 131-135
CW	A74	Nori, A.K., Kumar, S., "Bringing Objects to the Mainstream," Compcon '97 Proceedings, IEEE, 1997, pp. 136-142
CW	A75	"Data Joiner: A Multidatabase Server Version 1," White Paper, IBM Data Management Solutions, IBM, USA, second edition (May 1995)
CW	A76	"Effective Systems management for DB2 Universal Database," White Paper, IBM Data Management Solutions, IBM, USA, first edition (December 1996)
CW	A77	Pleas, K., "Ole's Missing Links," BYTE, McGraw-Hill Inc., St. Peterborough, US, Vol. 21, No. 4, 1 April 1996, pp. 99-102 X-000586036, ISSN 0360-5280
CW	A78	Burke, M.G., Choi, J.D., Fink, S., Grove, D., Hind, M., Sarkar, V., Serrano, M.J., Sreedhar, V.C., Srinivasan, H., "The Jalapeno Dynamic Optimizing Compiler for Java," Java '99, San Francisco, CA, US, ACM 12 June 1999, pp. 129-141 XP002134655
CW	A79	Cramer, T., Friedman, R., Miller, T., Seberger, D., Wilson, R., Wolczko, M., "Compiling Java Just in Time," IEEE Micro, IEEE Inc., New York, US, Vol. 17, No. 3, 1 May 1997, pp. 36-43 XP000656035, ISSN: 0272-1732
CW	A80	Bank, J.S., "Java Security," 8 December 1995, pp. 1-13, X-002092325
CW	A81	Koved, L., Nadalin, A.J., Neal, D., Lawson, T., "The Evolution of Java Security," IBM, The Ultimate Resource for Java Developers, 1998, pp. 1-14, XP002144222

EXAMINER C. NG U76N	DATE CONSIDERED 07/04/05
---------------------	--------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

JUN 17 2004



FORM PTO-1449	SERIAL NO. 09/677,135	CASE NO. 10022/036
LIST OF PATENTS AND PUBLICATIONS APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 29, 2000	GROUP ART UNIT 2674
(use several sheets if necessary)	APPLICANT(S): Yannis S. Arvanitis, et al.	

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
CW	A82	Touch, J., Hughes, A.S., "LSAM proxy cache: a multicast distributed virtual cache," Computer Networks and ISDN Systems, North Holland Publishing, Amsterdam, NL (3W3 Workshop, University of Manchester, 15-17th June, 1998), Vol. 30, No. 22-23, 25 Nov. 1998, pp. 22-23
CW	A83	Clip, P., "Servlets: CGI The Java Way," BYTE, McGraw-Hill, Inc., St. Peterborough, US, Vol. 23, No. 5, 1 May 1998, pp. 55-56
CW	A84	Gwertzman, J.S., Seltzer, M., "The Case For Geographical Push-Caching," Workshop on Hot Topics in Operating Systems, 4 May 1995, pp. 51-55
CW	A85	Chamberlin, D.D., "Using the new DB2: IBM's object-relational database system," Morgan Kaufmann Publishers, USA, 1996, ISBN 1-55860-373-5, ppl. 561-567
CW	A86	North, K., "Database Programming with OLE and ActiveX," DBMS, US, M&T Publ., Redwood City, CA, US, 11-1996, pp. 1-8
CW	A87	Joshi, S.M., Veeramani, D., "Book Review. Increasing the Interoperability of CAD Packages. CAD and Office Integration: OLE for Design and Modeling - A New Technology for CA-Software," Computer Aided Design, Elsevier Publishers BV, Barking, GB, Vol. 29, No. 12, 12/01/1997, pp. 907
CW	A88	Schmoll, J. "Wird OLE for Process Control (OPC) Ein Neuer Industriestandard?", Automatisierungstechnische Praxis, ATP, Oldenbourg Verlag, Munchen, DE, Vol. 39, No. 5, 05/01/1997, pp. 11-12, 14-17
CW	A89	Williams, S., Kindel, C., "The Component Object Model. The Foundation for OLE Services," Dr. Dobb's Special Report. Winter, 1994/95, Vol. 19, No. 16, 12/21/1994 pp. 14-22
CW	A90	Smith, D., Tilley, S.R., Weideman, N.H., "Transforming Legacy Application into Object-Oriented Applications Workshop, Will Net-Centric Computing Change the Reengineering Equation?" 1996, pp. 1-4
CW	A91	Hamilton, M.A., "Java and the shift to Net-centric computer," Sun Microsystems, Computer, paper published Aug. 1996, ISSN: 0018-9162, Aug. 1996, pp. 31-39

RECEIVED

JUN 17 2004

Technology Center 2100

EXAMINER C. NGUYEN	DATE CONSIDERED 09/09/05
-----------------------	-----------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.